The Sidon constant of sets with three elements

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Abstract

We solve an elementary extremal problem on trigonometric polynomials and obtain the exact value of the Sidon constant for sets with three elements $\{n_0, n_1, n_2\}$: it is

 $\sec(\pi \gcd(n_1 - n_0, n_2 - n_0)/2\max|n_i - n_j|).$

1 Introduction

Let $\Lambda = \{\lambda_0, \lambda_1, \lambda_2\}$ be a set of three frequencies and ρ_0, ρ_1, ρ_2 three positive intensities. We solve the following extremal problem:

(†) To find $\vartheta_0, \vartheta_1, \vartheta_2$ three phases such that, putting $c_j = \varrho_j e^{i\vartheta_j}$, the maximum $\max_t |c_0 e^{i\lambda_0 t} + c_1 e^{i\lambda_1 t} + c_2 e^{i\lambda_2 t}|$ is minimal.

This enables us to generalise a result of D. J. Newman. He solved the following extremal problem for $\Lambda = \{0, 1, 2\}$:

(‡) To find $f(t) = c_0 e^{i\lambda_0 t} + c_1 e^{i\lambda_1 t} + c_2 e^{i\lambda_2 t}$ with $||f||_{\infty} = \max_t |f(t)| \leq 1$ such that $||\hat{f}||_1 = |c_0| + |c_1| + |c_2|$ is maximal.

Note that for such an f, $\|\hat{f}\|_1$ is the Sidon constant of Λ . Newman's argument is the following (see [6, Chapter 3]): by the parallelogram law,

$$\begin{aligned} \max_{t} |f(t)|^{2} &= \max_{t} |f(t)|^{2} \vee |f(t+\pi)|^{2} \\ &\geqslant \max_{t} (|f(t)|^{2} + |f(t+\pi)|^{2})/2 \\ &= \max_{t} (|c_{0} + c_{1}e^{it} + c_{2}e^{i2t}|^{2} + |c_{0} - c_{1}e^{it} + c_{2}e^{i2t}|^{2})/2 \\ &= \max_{t} |c_{0} + c_{2}e^{i2t}|^{2} + |c_{1}|^{2} = (|c_{0}| + |c_{2}|)^{2} + |c_{1}|^{2} \\ &\geqslant (|c_{0}| + |c_{1}| + |c_{2}|)^{2}/2 \end{aligned}$$

and equality holds exactly for multiples and translates of $f(t) = 1 + 2ie^{it} + e^{i2t}$.

Let us describe this paper briefly. We use a real-variable approach: Problem (\dagger) reduces to studying a function of form

$$\Phi(t,\vartheta) = |1 + r e^{i\vartheta} e^{ikt} + s e^{ilt}|^2 \text{ for } r, s > 0, \ k \neq l \in \mathbb{Z}^*$$

and more precisely $\Phi^*(\vartheta) = \max_t \Phi(t, \vartheta)$. We obtain the variations of Φ^* : the point is that we find "by hand" a local minimum of Φ^* and that any two minima of Φ^* are separated by a maximum of Φ^* , which corresponds to an extremal point of Φ and therefore has a handy description. The solution to Problem (\ddagger) then turns out to derive easily from this.

The initial motivation was twofold. In the first place, we wanted to decide whether sets $\Lambda = \{\lambda_n\}$ such that λ_{n+1}/λ_n is bounded by some q may have a Sidon constant arbitrarily close to 1 and to find evidence among sets with three elements. That there are such sets, arbitrarily large but finite, may in fact be proven by the method of Riesz products in [2, Appendix V, §1.II]. In the second place, we wished to show that the real and complex unconditionality constants are distinct for basic sequences of characters e^{int} ; we prove however that they coincide in the space $\mathscr{C}(\mathbb{T})$ for sequences with three terms.

Notation. $\mathbb{T} = \{ z \in \mathbb{C} : |z| = 1 \}$ and $e_{\lambda}(z) = z^{\lambda}$ for $z \in \mathbb{T}$ and $\lambda \in \mathbb{Z}$.

2 Definitions

Definition 2.1. (1) Let $\Lambda \subseteq \mathbb{Z}$. Λ is a Sidon set if there is a constant C such that for all trigonometric polynomials $f(t) = \sum_{\lambda \in \Lambda} c_{\lambda} e^{i\lambda t}$ with spectrum in Λ we have

$$\|\widehat{f}\|_1 = \sum_{\lambda \in \Lambda} |c_{\lambda}| \leqslant C \max_t |f(t)| = \|f\|_{\infty}$$

The optimal C is called the Sidon constant of Λ .

(2) Let X be a Banach space. The sequence $(x_n) \subseteq X$ is a real (vs. complex) unconditional basic sequence in X if there is a constant C such that

$$\left\|\sum \vartheta_n c_n x_n\right\|_X \leqslant C \left\|\sum c_n x_n\right\|_X$$

for every real (vs. complex) choice of signs $\vartheta_n \in \{-1, 1\}$ (vs. $\vartheta_n \in \mathbb{T}$) and every finitely supported family of coefficients (c_n) . The optimal C is the real (vs. complex) unconditionality constant of (x_n) in X.

Let us state the two following well known facts.

Proposition 2.2. (1) The Sidon constant of Λ is the complex unconditionality constant of the sequence of functions $(e_{\lambda})_{\lambda \in \Lambda}$ in the space $\mathscr{C}(\mathbb{T})$.

(2) The complex unconditionality constant is at most $\pi/2$ times the real unconditionality constant.

Proof. (1) holds because $\left\|\sum \vartheta_{\lambda} c_{\lambda} e_{\lambda}\right\|_{\infty} = \sum |c_{\lambda}|$ for $\vartheta_{\lambda} = \overline{c_{\lambda}}/|c_{\lambda}|$.

(2) Because the complex unconditionality constant of the sequence (ϵ_n) of Rademacher functions in $\mathscr{C}(\{-1,1\}^{\infty})$ is $\pi/2$ (see [5]),

$$\begin{split} \sup_{\vartheta_n \in \mathbb{T}} \left\| \sum \vartheta_n c_n x_n \right\|_X &= \sup_{x^* \in B_{X^*}} \sup_{\vartheta_n \in \mathbb{T}} \sup_{\epsilon_n = \pm 1} \left| \sum \vartheta_n c_n \langle x^*, x_n \rangle \epsilon_n \right| \\ &\leqslant \pi/2 \sup_{x^* \in B_{X^*}} \sup_{\epsilon_n = \pm 1} \left| \sum c_n \langle x^*, x_n \rangle \epsilon_n \right| \\ &= \pi/2 \sup_{\epsilon_n = \pm 1} \left\| \sum \epsilon_n c_n x_n \right\|_X. \end{split}$$

Furthermore the real unconditionality constant of (ϵ_n) in $\mathscr{C}(\{-1,1\}^{\infty})$ is 1: therefore the factor $\pi/2$ is optimal.

Let us straighten out the expression of the Sidon constant. For

$$f(t) = c_0 \mathrm{e}^{\mathrm{i}\lambda_0 t} + c_1 \mathrm{e}^{\mathrm{i}\lambda_1 t} + c_2 \mathrm{e}^{\mathrm{i}\lambda_2 t}, \ c_j = \varrho_j \mathrm{e}^{\mathrm{i}\vartheta_j},$$

the supremum norm $||f||_{\infty}$ of f is equal to

$$\|\varrho_0 + \varrho_1 e^{i\vartheta} e_{\lambda_1 - \lambda_0} + \varrho_2 e_{\lambda_2 - \lambda_0}\|_{\infty}, \ \vartheta = \frac{\lambda_1 - \lambda_2}{\lambda_2 - \lambda_0} \vartheta_0 + \vartheta_1 + \frac{\lambda_0 - \lambda_1}{\lambda_2 - \lambda_0} \vartheta_2 \tag{1}$$

and therefore the Sidon constant C of $\Lambda = \{\lambda_0, \lambda_1, \lambda_2\}$ may be written

$$C = \max_{r,s>0,\vartheta} (1+r+s)/\|1+r\mathrm{e}^{\mathrm{i}\vartheta}\mathrm{e}_k + s\mathrm{e}_l\|_{\infty} \quad \text{with} \begin{cases} k = \lambda_1 - \lambda_0 \\ l = \lambda_2 - \lambda_0. \end{cases}$$
(2)

By change of variables, we may suppose w.l.o.g. that k and l are coprime.

3 A solution to Extremal problem (†)

Let us first establish

Lemma 3.1. Let $\lambda_1, \ldots, \lambda_k \in \mathbb{Z}^*$ and $\varrho_1, \ldots, \varrho_k > 0$. Let

$$f(t,\vartheta) = 1 + \rho_1 e^{i(\lambda_1 t + \vartheta_1)} + \dots + \rho_{k-1} e^{i(\lambda_{k-1} t + \vartheta_{k-1})} + \rho_k e^{i\lambda_k t}$$

and $\Phi(t,\vartheta) = |f(t,\vartheta)|^2$. The critical points (t,ϑ) such that $\nabla \Phi(t,\vartheta) = 0$ satisfy either $f(t,\vartheta) = 0$ or $\lambda_1 t + \vartheta_1 \equiv \cdots \equiv \lambda_{k-1} t + \vartheta_{k-1} \equiv \lambda_k t \equiv 0 \mod \pi$.

Proof. As $\Phi = (\Re f)^2 + (\Im f)^2$, the critical points (t, ϑ) satisfy

$$\begin{cases} \Re \frac{\partial f}{\partial t}(t,\vartheta) \Re f(t,\vartheta) + \Im \frac{\partial f}{\partial t}(t,\vartheta) \Im f(t,\vartheta) = 0\\ -\sin(\lambda_i t + \vartheta_i) \Re f(t,\vartheta) + \cos(\lambda_i t + \vartheta_i) \Im f(t,\vartheta) = 0 \quad (1 \le i \le k - 1) \end{cases}$$

which simplifies to

$$-\sin(\lambda_i t + \vartheta_i) \Re f(t, \vartheta) + \cos(\lambda_i t + \vartheta_i) \Im f(t, \vartheta) = 0 \quad (1 \le i \le k, \ \vartheta_k = 0)$$

Suppose that $f(t, \vartheta) \neq 0$: then the system above implies that

$$-\sin(\lambda_i t + \vartheta_i)\cos(\lambda_j t + \vartheta_j) + \cos(\lambda_i t + \vartheta_i)\sin(\lambda_j t + \vartheta_j) = 0 \ (1 \le i, j \le k, \ \vartheta_k = 0)$$

and it simplifies therefore to

$$\sin(\lambda_i t + \vartheta_i) = 0 \quad (1 \le i \le k, \ \vartheta_k = 0).$$

The following result is the core of the paper.

Lemma 3.2. Let $r, s > 0, k, l \in \mathbb{Z}^*$ distinct and coprime. Let

$$\Phi(t,\vartheta) = |1 + re^{i\vartheta}e^{ikt} + se^{ilt}|^2$$

= 1 + r² + s² + 2r cos(kt + \vartheta) + 2s cos lt + 2rs cos((l - k)t - \vartheta).

Let $\Phi^*(\vartheta) = \max_t \Phi(t, \vartheta)$. Then Φ^* is an even function with period $2\pi/|l|$ that decreases on $[0, \pi/|l|]$. Therefore $\min_{\vartheta} \Phi^*(\vartheta) = \Phi^*(\pi/l)$.

Proof. Φ^* is continuous (see [4, Chapter 5.4]) and even, as $\Phi(t, -\vartheta) = \Phi(-t, \vartheta)$. Φ^* is $(2\pi/|l|)$ -periodical: let $j \in \mathbb{Z}$ be such that $jk \equiv 1 \mod l$. Then

$$\Phi(t+2j\pi/l,\vartheta) = |1+r\mathrm{e}^{\mathrm{i}(\vartheta+2\pi jk/l)}\mathrm{e}^{\mathrm{i}kt} + s\mathrm{e}^{\mathrm{i}lt}|^2 = \Phi(t,\vartheta+2\pi/l).$$

Thus Φ^* attains its minimum on $[0, \pi/|l|]$. Furthermore, we have

$$\Phi(-t - 2j\pi/l, \pi/l - \vartheta) = \Phi(t + 2j\pi/l, -\pi/l + \vartheta) = \Phi(t, \pi/l + \vartheta),$$

so that Φ^* has an extremum at π/l . Now

$$\Phi^*(\pi/l+\vartheta) = \Phi^*(\pi/l) + |\vartheta| \max_{\Phi(t,\pi/l) = \Phi^*(\pi/l)} \left| \frac{\partial \Phi}{\partial \vartheta}(t,\pi/l) \right| + o(\vartheta).$$

Choose a t such that $\Phi(t, \pi/l) = \Phi^*(\pi/l)$. If $\partial \Phi/\partial \vartheta(t, \pi/l) \neq 0$, then this shows that Φ^* has a local minimum and a cusp at π/l . Let us now suppose that $\partial \Phi/\partial \vartheta(t, \pi/l) = 0$. If Φ^* had a local maximum at π/l , then $(t, \pi/l)$ would be a critical point of Φ , so that by Lemma 3.1 $\cos(kt + \pi/l) = \delta$, $\cos lt = \epsilon$, $\cos((l - k)t - \pi/l) = \delta\epsilon$ for some $\delta, \epsilon \in \{-1, 1\}$. One necessarily would have $(\delta, \epsilon) \neq (1, 1)$. Furthermore,

$$\begin{aligned} \frac{\partial^2 \Phi}{\partial \vartheta^2}(t,\pi/l) &= -2r\delta(1+s\epsilon) \leqslant 0\\ \left| \begin{array}{ccc} \partial^2 \Phi/\partial t^2 & \partial^2 \Phi/\partial t\partial \vartheta\\ \partial^2 \Phi/\partial \vartheta \partial t & \partial^2 \Phi/\partial \vartheta^2 \end{array} \right|(t,\pi/l) &= 4rsl^2(\delta\epsilon + r\epsilon + s\delta) \geqslant 0, \end{aligned}$$

which would imply $\epsilon = -1$, r = 0, s = 1. Therefore Φ^* has a local minimum at π/l . Let us show that then Φ^* must decrease on $[0, \pi/|l|]$. Otherwise there are $0 \leq \vartheta_0 < \vartheta_1 \leq \pi/|l|$ such that $\Phi^*(\vartheta_1) > \Phi^*(\vartheta_0)$. As $\pi/|l|$ is a local minimum, there is a $\vartheta_0 < \vartheta^* < \pi/|l|$ such that

$$\Phi^*(\vartheta^*) = \max_{\substack{\vartheta_0 \leqslant \vartheta \leqslant \pi/|l|}} \Phi^*(\vartheta) = \max_{\substack{0 \leqslant t < 2\pi\\ \vartheta_0 \leqslant \vartheta \leqslant \pi/|l|}} \Phi(t,\vartheta),$$

i.e., there further is some t^* such that Φ has a local maximum at (t^*, ϑ^*) . But then $kt^* + \vartheta^* \equiv lt^* \equiv 0 \mod \pi$ and $\vartheta^* \equiv 0 \mod \pi/l$ and this is false.

By Computation (1) and Lemma 3.2, we obtain

Theorem 3.3. Let $\lambda_0, \lambda_1, \lambda_2 \in \mathbb{R}$ and $\varrho_0, \varrho_1, \varrho_2 > 0$. The solution to Extremal problem (†) is the following.

- If the smallest additive group containing λ₁ − λ₀ and λ₂ − λ₀ is dense in ℝ, then the maximum is independent of the phases ϑ₀, ϑ₁, ϑ₂ and makes ρ₀ + ρ₁ + ρ₂.
- Otherwise let $d = \gcd(\lambda_1 \lambda_0, \lambda_2 \lambda_0)$ be a generator of this group. Then the sought phases $\vartheta_0, \vartheta_1, \vartheta_2$ are given by

$$\vartheta_0(\lambda_2 - \lambda_1) + \vartheta_1(\lambda_0 - \lambda_2) + \vartheta_2(\lambda_1 - \lambda_0) \equiv d\pi \mod 2d\pi.$$

In particular, these phases may be chosen among 0 and π .

4 A solution to Extremal problem (\ddagger)

There are two cases where one can make explicit computations by Lemma 3.2.

Example 4.1. The real and complex unconditionality constant of $\{0, 1, 2\}$ in $\mathscr{C}(\mathbb{T})$ is $\sqrt{2}$. Indeed, a case study shows that

$$\|1 + \mathrm{i} r \mathbf{e}_1 + s \mathbf{e}_2\|_{\infty} = \begin{cases} r + |s - 1| & \text{if } r|s - 1| \ge 4s\\ (1 + s)(1 + r^2/4s)^{1/2} & \text{if } r|s - 1| \le 4s \end{cases}$$

and this permits to compute the maximum (2), which is obtained for r = 2, s = 1. This yields another proof to Newman's result presented in the Introduction.

Example 4.2. The real and complex unconditionality constant of $\{0, 1, 3\}$ in $\mathscr{C}(\mathbb{T})$ is $2/\sqrt{3}$. Indeed, a case study shows that $\|1 + r e^{i\pi/3} e_1 + s e_3\|_{\infty}$ makes

$$\begin{cases} 1+r-s & \text{if } s \leqslant r/(4r+9) \\ \left(\frac{2}{27}s(r^2+9+3r/s)^{3/2}-\frac{2}{27}r^3s+\frac{2}{3}r^2+rs+s^2+1\right)^{1/2} & \text{if } s \geqslant r/(4r+9) \end{cases}$$

and this permits to compute the maximum (2), which is obtained exactly at r = 3/2, s = 1/2.

These examples are particular cases of the following theorem.

Theorem 4.3. Let $\lambda_0, \lambda_1, \lambda_2 \in \mathbb{Z}$ be distinct. Then the Sidon constant of $\Lambda = \{\lambda_0, \lambda_1, \lambda_2\}$ is $\sec(\pi/2n)$, where $n = \max |\lambda_i - \lambda_j| / \gcd(\lambda_1 - \lambda_0, \lambda_2 - \lambda_0)$.

Proof. We may suppose $\lambda_0 < \lambda_1 < \lambda_2$. Let $k = (\lambda_1 - \lambda_0)/\operatorname{gcd}(\lambda_1 - \lambda_0, \lambda_2 - \lambda_0)$ and $l = (\lambda_2 - \lambda_0)/\operatorname{gcd}(\lambda_1 - \lambda_0, \lambda_2 - \lambda_0)$. By Lemma 3.2, the Arithmetic-Geometric Mean Inequality bounds the Sidon constant C of $\{0, k, l\}$ in the following way:

$$C = \max_{r,s>0} \frac{1+r+s}{\|1+re^{i\pi/l}e_k + se_l\|_{\infty}} \leqslant \max_{r,s>0} \frac{1+r+s}{|1+re^{i\pi/l}+s|} = \max_{r,s>0} \left(1-\sin^2\frac{\pi}{2l}\frac{4r(1+s)}{(1+r+s)^2}\right)^{-1/2} \leqslant \left(1-\sin^2(\pi/2l)\right)^{-1/2} = \sec(\pi/2l).$$

This inequality is sharp: we have equality for s = k/(l-k) and r = 1 + s. In fact the derivative of $|1 + re^{i\pi/l}e^{ikt} + se^{ilt}|^2$ is then

$$\frac{8kl}{k-l}\cos\frac{kt+\pi/l}{2}\sin\frac{lt}{2}\cos\frac{(l-k)t-\pi/l}{2},$$

so that its critical points are

$$\frac{2j+1}{k}\pi - \frac{\pi}{kl}, \ \frac{2j}{l}\pi, \ \frac{2j+1}{l-k}\pi + \frac{\pi}{l(l-k)}: j \in \mathbb{Z},$$

where it makes

$$4s^{2}\sin^{2}\frac{2j+1+l}{2k}\pi, \ 4r^{2}\cos^{2}\frac{2j+1}{2l}\pi, \ 4\cos^{2}\frac{2j+1+k}{2(l-k)}\pi: j \in \mathbb{Z}$$

Therefore the maximum of $|1 + re^{i\pi/l}e^{ikt} + se^{ilt}|$ is $2r\cos(\pi/2l)$.

This proof and (1) yield also the more precise

Proposition 4.4. Let $\Lambda = \{\lambda_0, \lambda_1, \lambda_2\} \subseteq \mathbb{Z}$. The solution to Extremal problem (\ddagger) is a multiple of

$$f(t) = \epsilon_0 |\lambda_1 - \lambda_2| e^{i\lambda_0 t} + \epsilon_1 |\lambda_0 - \lambda_2| e^{i\lambda_1 t} + \epsilon_2 |\lambda_0 - \lambda_1| e^{i\lambda_2 t}$$

with $\epsilon_0, \epsilon_1, \epsilon_2 \in \{-1, 1\}$ real signs such that

- $\epsilon_0 \epsilon_1 = -1$ if $2^j \mid \lambda_1 \lambda_0$ and $2^j \nmid \lambda_2 \lambda_0$ for some j;
- $\epsilon_0 \epsilon_2 = -1$ if $2^j \nmid \lambda_1 \lambda_0$ and $2^j \mid \lambda_2 \lambda_0$ for some j;
- $\epsilon_1 \epsilon_2 = -1$ otherwise.

The Sidon constant of Λ is attained for this f. Therefore the complex and real unconditionality constants of $\{e_{\lambda}\}_{\lambda \in \Lambda}$ in $\mathscr{C}(\mathbb{T})$ coincide for sets Λ with three elements.

5 Some consequences

Let us underline the following consequences of our computation.

Corollary 5.1. (1) The Sidon constant of sets with three elements is at most $\sqrt{2}$.

- (2) The Sidon constant of $\{0, n, 2n\}$ is $\sqrt{2}$, while the Sidon constant of $\{0, n + 1, 2n\}$ is at most $\sec(\pi/2n) = 1 + \pi^2/8n^2 + o(n^{-2})$ and thus arbitrarily close to 1.
- (3) The Sidon constant of $\{\lambda_0 < \lambda_1 < \lambda_2\}$ does not depend on λ_1 but on the g.c.d. of $\lambda_1 \lambda_0$ and $\lambda_2 \lambda_0$.

Theorem 4.3 also shows anew that no set of integers with more than two elements has Sidon constant 1 (see [6, p. 21] or [1]). Recall now that $\Lambda = \{\lambda_n\} \subseteq \mathbb{Z}$ is a Hadamard set if there is a q > 1 such that $|\lambda_{n+1}/\lambda_n| \ge q$ for all n. By [3, Cor. 9.4], the Sidon constant of Λ is at most $1 + \pi^2/(2q^2 - 2 - \pi^2)$ if $q > \sqrt{\pi^2/2 + 1} \approx 2.44$. On the other hand Theorem 4.3 shows

Corollary 5.2. (1) If there is an integer $q \ge 2$ such that $\Lambda \supseteq \{\lambda, \lambda + \mu, \lambda + q\mu\}$ for some integers λ and μ , then the Sidon constant of Λ is at least

$$\sec(\pi/2q) > 1 + \pi^2/(8q^2).$$

(2) In particular, we have the following bounds for the Sidon constant C of the set $\Lambda = \{q^k\}, q \in \mathbb{Z} \setminus \{0, \pm 1, \pm 2\}$:

$$1 + \frac{\pi^2}{8 \max(-q, q+1)^2} < C \leqslant 1 + \frac{\pi^2}{2q^2 - 2 - \pi^2}$$

6 Three questions

- (a) Is there a set Λ for which the real and complex unconditionality constants of $\{e_{\lambda}\}_{\lambda \in \Lambda}$ in $\mathscr{C}(\mathbb{T})$ differ? The same question is open in spaces $L^{p}(\mathbb{T})$, $1 \leq p < \infty$, and even for the case of three element sets if p is not a small even integer, and especially for the set $\{0, 1, 2, 3\}$ in any space but $L^{2}(\mathbb{T})$.
- (b) Let q > 1. Are there infinite sets $\Lambda = \{\lambda_n\}$ such that $|\lambda_{n+1}/\lambda_n| \leq q$ with Sidon constant arbitrarily close to 1? What about the sequence of integer parts of the powers of a transcendental number $\sigma > 1$ (see [3, Cor. 2.10, Prop. 3.2])?
- (c) The only set with more than three elements with known Sidon constant is $\{0, 1, 2, 3, 4\}$, for which it makes 2 (see [6, Chapter 3]). Can one compute the Sidon constant of sets with four elements? I conjecture that the Sidon constant of $\{0, 1, 2, 3\}$ is 5/3.

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